

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Attorney Docket No.: | UT-0006 |
| Inventors: | RAO ET AL. |
| Serial No.: | 09/109,858 |
| Filing Date: | JULY 2, 1998 |
| Examiner: | NOT YET ASSIGNED |
| Group Art Unit: | 1651 |
| Title: | LINEAGE-RESTRICTED NEURONAL PRECURSORS |



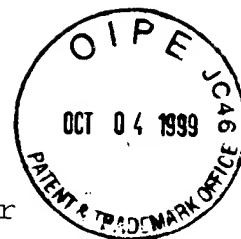
CERTIFICATE UNDER 37 CFR 3.73(b)

University of Utah Reserach Foundation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on October 23, 1998 at Reel 9540, Frame 0682 for which a copy thereof is attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own belief are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false



statements and the like so made are punishable by fine or imprisonment or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

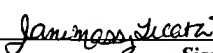
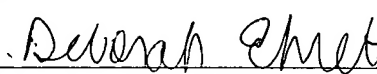
Marjorie D. Hunter
Signature

Sept. 21, 1999
Date

MARJORIE HUNTER
Typed or printed name

SENIOR LICENSING ASSOCIATE
Title

GP 1651

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| TRANSMITTAL LETTER (General Patent Pending) | | RECEIVED | | Docket No. UT-0006 |
| In Re Application Of: RAO ET AL. | | OCT 06 1999 TECH CENTER 1600/2900 | | |
| Serial No. 09/109,858 | Filing Date July 2, 1998 | Examiner Not Yet Assigned | Group Art Unit 1651 | |
| Title: LINEAGE-RESTRICTED NEURONAL PRECURSORS | | | | |
| <u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> | | | | |
| Transmitted herewith is: 1) Power of Attorney with Revocation; and 2) Certificate Under 37 CFR 3.73(b) | | | | |
| in the above identified application. | | | | |
| <input checked="" type="checkbox"/> No additional fee is required. <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 12-1086 as described below. A duplicate copy of this sheet is enclosed. <div style="margin-left: 40px;"><input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.</div> | | | | |
| <div style="text-align: center;"> _____ Signature</div> <p>Jane Massey Licata, Reg. No. 32,257</p> <p>Law Offices of Jane Massey Licata 66 E. Main Street Marlton, New Jersey 08053</p> <p>(856) 810-1515</p> | | Dated: September 30, 1999 | | |
| CC: | | <div style="border-bottom: 1px solid black; padding-bottom: 5px;">I certify that this document and fee is being deposited on Sept. 30, 1999 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</div> <div style="text-align: center; padding: 5px;"> _____ Signature of Person Mailing Correspondence</div> <div style="text-align: center; padding: 5px;">Deborah Ehret _____ Typed or Printed Name of Person Mailing Correspondence</div> | | |